



C·A·U·L

COUNCIL OF

AUSTRALIAN UNIVERSITY LIBRARIANS

ANNUAL REPORT 2002

FOREWORD

CAUL and its members have a three-fold responsibility to Australian higher education – to manage university libraries to meet the information needs of current staff and students, to manage legacy collections, and to design and plan for the needs of the future. The environment in which libraries operate has become increasingly complex, not least as a result of economic and technological change. Meeting the information needs of researchers has become a particular challenge so the opportunity in 2002 to contribute to a government initiative to improve research infrastructure was very welcome.

In 2001 the government embodied a strategy for strengthening Australia's research capacity in *Backing Australia's Ability: an innovation action plan for the future*. One of the programs established as a result was the Systemic Infrastructure Initiative, and significant funds were earmarked for information infrastructure. Advice as to the best use of those funds was sought from a committee established for the purpose under the chairmanship of John Shipp, Sydney University Librarian and a member of the CAUL Executive.

Based on the advice of CAUL members and other stakeholders in research infrastructure, the report of Shipp's Higher Education Information Infrastructure Advisory Committee was handed to the government in November 2002. The report recommended support for twelve projects associated with resource discovery, information repositories, electronic publication and access management.

A new initiative in 2002 was the institution of the CAUL Achievement Award. Intended to be awarded annually, it will recognise staff of a member library, an individual or team leader, who makes an outstanding contribution to the achievement of the CAUL strategic agenda. Australian university libraries are innovative places and this award will highlight the work of otherwise unsung achievers.

2002 was also the year when the government undertook a review of higher education. CAUL made a number of submissions as a result, following the release of the discussion paper *Higher Education at the Crossroads*.

MADELEINE MCPHERSON
CAUL PRESIDENT



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1. STRATEGIC PLAN

A) CAUL ACHIEVEMENT AWARD

This was awarded to *Margie Jantti*, Quality and Marketing Manager at University of Wollongong for her outstanding performance and lasting contribution in 2002 to the CAUL Strategic Plan. Margie Jantti has contributed significantly to CAUL's strategic agenda through her work in the area of best practice and continuous improvement. She has developed formidable knowledge and expertise in this area and is an outstanding advocate for the use of business excellence frameworks within the library sector.

Margie is an invited speaker at seminars on the principles of quality management and their application in the business, higher education and library sectors. She exemplified the CAUL value of *collaboration and partnerships* through her vigorous pursuit of alliances with local and national communities to identify opportunities to compare performance and practices with external organisations, both within and outside the library industry.

2. INFORMATION RESOURCES & SERVICES

A) ADT (AUSTRALIAN DIGITAL THESES PROGRAM)

The ADT Project became a CAUL Program in 2002. A business plan was endorsed, and all CAUL members became members of ADT. A two-tiered governance structure was adopted, with a Policy Group and a Technical Group. Alex Byrne (UTS) chairs the Policy Group. The Policy Group includes representatives from the Deans and Directors of Graduate Schools and the Council of Australian Postgraduate Associations. The University of New South Wales Library will continue to manage the program for CAUL. There was steady growth in the number of university libraries becoming active ADT participants during the year, with thirteen sites providing online access to around 800 theses.

B) ULA (UNIVERSITY LIBRARY AUSTRALIA)

ULA, the national borrowing scheme for Australian universities, was reviewed following its first full year

of operation. Usage data showed significant use, with nearly 5,000 borrowers registered, and over 100,000 loans transacted. Some universities have decided to retain or impose an annual \$50 registration fee in recognition of their requirements to recover costs from external library users, but all other transactions are gratis. All comments received during the review indicate that the registration process has indeed been greatly simplified for both borrowers and library staff, justifying the reduced level of regulation and administration of the program.

C) AARLIN (AUSTRALIAN ACADEMIC & RESEARCH LIBRARY NETWORK).

The development of the AARLIN* prototype was completed and trialled at six universities (Canberra, Flinders, La Trobe, Murdoch, Swinburne and Victoria) in the first half of 2002. The evaluation of the system by researchers, at the completion of the trial in May, provided useful input into the specifications developed for the selection of the software for AARLIN Phase 2 – the fully operational system to be rolled out to the 21 universities which decided to become members of the AARLIN consortium.

A rigorous tendering process was adopted for the evaluation of the software. Four international software developers tendered for the Project, with the contract awarded to Ex Libris Pty Ltd in December 2002.

During the year a number of presentations on AARLIN were made in Australia (AVCC Conference in Brisbane, DEST Officials, Australian Subject Gateways Forum, Australian Health and Medical Research Congress) and overseas (Shanghai International Library Forum, Current Research Information System [CRIS] in Germany, Conference on New Information Technologies, Brunei, and CAUL/CONZUL in New Zealand).

The scene was set for the purchase of the required hardware and the installation of the new version of the Ex Libris software (SFX and MetaLib) early in 2003.

*AARLIN Project. Funded by DEST/SII, and aims to develop a national portal framework for resource discovery and delivery of research information to academic staff, postgraduates and researchers in the higher education sector.

D) SYSTEMIC INFRASTRUCTURE INITIATIVE (DEST)

The Final Report of the Higher Education Information Infrastructure Advisory Committee Systemic Infrastructure Initiative was released in November, 2002. John Shipp chaired the committee at the Minister's invitation, and the CAUL President was a member, along with representatives of the ARC, the Academy of the Humanities, the CSIRO and the National Library. The report addressed areas of information infrastructure & library resources which could provide the greatest advantage to the national higher education sector. \$22.5m over 3 years was allocated by the Commonwealth Government to the projects identified.

E) CEIRC (CAUL ELECTRONIC INFORMATION RESOURCES COMMITTEE) PROGRAM

CAUL has a long tradition of collaboration in the negotiation of licences and the cost-effective purchasing of access to electronic databases and journals coordinated through CEIRC. The membership of CEIRC has expanded from the 38 Australian university libraries to include the 8 universities in New Zealand, CSIRO and 17 other higher education and research organisations across Australia, New Zealand and Fiji. As well as being responsible for the development of policy and guidelines for the development of consortial agreements, CEIRC negotiates with publishers on the conditions of licence agreements to ensure they reflect the changing requirements of the higher education sector.

In 2002 CEIRC had 58 current agreements with publishers and other vendors for a range of products. The CAUL office manages the communication between publishers and members with internal communication between CEIRC members managed via a very active email list.

New consortial agreements in 2002 were finalised with the *Nature Publishing Group* with 24 subscribers, *Oxford University Press* with 18 subscribers and the *American Psychological Association* with 19 subscribers. In all cases the universities constitute the majority of subscribers.

A three-year renewal of *SciFinder Scholar* (Chemical Abstracts Service) was negotiated, extending the world-first consortial agreement for this highly regarded database, and retaining the best available discount rate for CAUL members.

Agreements were also reached with the *American Chemical Society*, *Association of Computing Machinery* and *Wiley InterScience*

for access to the full set of their electronic journals. Access to databases and aggregations of journals were successfully negotiated with the Oxford Reference Online, Oxford English Dictionary, ProQuest 5000 and PsycINFO.

A sample calculation of price paid for a range of databases and electronic journals shows savings in 2002 of US\$5.2m compared with the list price of US\$10.5m.

As part of the quality assurance process which CAUL applies to its various programmes, the Terms of Reference of CEIRC were reviewed and revised in 2002.

i) CAUL-Industry Think Tank

The fourth in the series of CAUL-Industry Think Tanks was held at the National Maritime Museum in Sydney in May 2002. The Think Tanks are held intermittently when a topic of major interest to both CAUL and the publishing industry will benefit both sectors through detailed analysis and discussion.

The 2002 Think Tank focussed on *Publishers' Pricing Models* and *Intra-consortium Cost-sharing Models*. Presenters were asked to take a 'big-picture' position and consider trends in the industry, and offer informed views on its future directions. Presentations from the industry were provided by representatives from Blackwell Publishing, ISI, Wiley InterScience, Kluwer, Elsevier Science and ProQuest.

The options available for cost-sharing models were presented by Alex Byrne from UTS, and Diane Costello, CAUL Executive Officer who provided an overview of models in use by CEIRC and contrasted them with overseas models in use in Canada and the UK.

The papers from the Think Tank were made available to all CAUL members through the CAUL website.

The forum received positive feedback from participants and provided valuable information for CEIRC to use in future negotiations and the development of cost-sharing models.



3. BEST PRACTICE

A) BENCHMARKING

i) Rodski Client Surveys

The first round of the client surveys, designed specifically for CAUL by Rodski Behavioural Research Group, was completed in 2002.

The measurement tool has been designed to encourage comparative analysis both within and between institutions. Following the application of the survey by the majority of libraries discussions were held with Rodski to further refine the questions in the tool itself and provide improved access to data across the sector. Long term benefit is expected to flow as the survey is further refined and the survey is applied on a regular basis throughout the sector.

ii) Statistics

During 2002 the Statistics Focus Group dealt with a range of issues. It considered developments overseas, including the UK-based COUNTER project to define usage statistics of commercial electronic journals and databases, and the Association of Research Libraries' E-Metrics report. The Group focused on clarification of questions relating to the deemed list – of the number of titles included in aggregated databases of electronic journals. The list reduces the need for each individual institution to count the number of titles in each complete collection to which they subscribe.

The statistics collection and analysis was completed in August, at which time the Group spent some time dealing with issues which arose from the collection. It was agreed that information on archives would be collected for 2002.

The Group also examined several options for enhancements to the presentation of CAUL statistics. Formal terms of reference were approved by CAUL at its September meeting, including the Group's new slogan, "Cheap, useful, fairly valid."

4. COMMUNICATION & ADVOCACY

A) GOVERNMENT INQUIRIES

i) Higher Education at the Crossroads

CAUL responded to a number of government inquiries during 2002. A comprehensive response was made to the leading discussion paper of the Minister's Review *Higher Education at the Crossroads*. The response described the purpose and collaborative activities of CAUL, it enumerated reasons why university libraries are vital to the missions of higher education in research and teaching and it explained the cost pressures that have made it increasingly difficult for libraries to play the role expected of them. More specific responses were made to two of the other Crossroads discussion papers, dealing with Indigenous education and the paper called *Striving for Quality: Learning, Teaching and Scholarship*. The importance of information literacy was emphasised in both, and the latter paper also drew attention to CAUL programs in benchmarking and quality management.

ii) Inquiry into the Role of Libraries in the Online Environment

A response was also made to the Senate Inquiry into the Role of Libraries in the Online Environment, discussing the advantages that online technologies have brought but also the impediments that libraries face in delivering services in this environment.

B) COPYRIGHT

The enactment of the Copyright Amendment (Digital Agenda) Act 2000 in March 2001 provided the environment for universities to utilise the new and emerging communications technologies for copyright materials. In order to enable the universities, and particularly the libraries, to use the conditions under the Act, the AVCC negotiated a five-year agreement with the Copyright Agency Ltd (CAL) which was signed in June 2002. The agreement between CAL and 37 universities covers record keeping, sampling and remuneration, thus enabling libraries to develop electronic reserve collections and provide access to copyright materials through learning management systems.

C) RELATIONSHIPS WITH OTHER ORGANISATIONS.

The Australian Vice Chancellors' Committee is the peak body in higher education in Australia. CAUL values its relationship with the AVCC and works cooperatively with its officers on matters of mutual interest. The CEO John Mullarvey's attendance at an Executive meeting during the year was followed by a meeting with the CAUL President in October.

Senior officers of the National Library also attended an Executive meeting, and the Director General was a guest at CAUL's general meeting in Perth. The NLA is represented on CAUL's ADT Policy Reference Group and on the AARLIN Steering Committee. CAUL is represented on the NLA's Kinetica Advisory Committee.

Other guests in Perth were Dr Evan Arthur from DEST, a CONZUL representative, a South African colleague and five members of JULAC our sister organisation in Hong Kong. CAUDIT was also represented.

CAUL particularly values its association with CONZUL and continued the pattern of holding a joint meeting in New Zealand every three years with the September meeting in Dunedin. In between, CONZUL members are regular guests at CAUL meetings and information flows in both directions across the Tasman.

CAUL has for some years shared information and sometimes meetings with CAUDIT, and they have been jointly sponsoring the biennial EDUCAUSE conference since 1994. In 2002 they joined in association with ACODE, representing flexible delivery practitioners, with a view to exploring activities of mutual benefit. A number of working parties were set up, and the Executives agreed to meet regularly.

CAUL libraries are also active in regional organisations with similar collaborative objectives to the national organisation pursued on a regional basis – QULOC, UNISON, ACTUAL, CAVAL, ULSA, WAGUL. They define their missions in different ways, and their activities sometimes overlap with CAUL's, but they are almost always complementary and mutually informative.

CAUL meetings provide an opportunity to schedule meetings of the various special interest groups into which universities divide themselves – the Go8, LATN, the New Universities Group etc. Again these groups co-exist happily with the national organisation.

D) INTERNATIONAL EVENTS

i) Copyright and Universities: *From Principles to Practice*, Zwolle, The Netherlands, 6-7 December 2002.

In December 2002, Eve Woodberry represented CAUL at this working conference in Zwolle, The Netherlands, as one of four Australians invited to participate. The conference theme emphasised copyright issues for universities with a particular focus on the management of intellectual property rather than on the allocation of rights. A major outcome of the conference was the agreement to develop a set of principles aimed at optimising access to scholarly information in all formats, explaining the underlying relationships of the stakeholders involved and providing a guide to good practice on copyright policies in universities. Further information on the outcomes of the conference can be found at <http://www.surf.nl/copyright/>

ii) ETD2002 [5th International Symposium] Brigham Young University; Provo, Utah, 30-31 May; 1 June

Tony Cargnelutti, ADT Manager (University of New South Wales), was a member of the ETD2002 Program Committee and of the NDLTD Steering Committee. He presented an update on the ADT: *The Australian Digital Theses Program: from project to program to business plan, with lessons learned along the way*, and chaired a plenary session.

Tony also hosted the Networking Luncheon Seminar: *Non-text Dissertations: Moving Image, Animation, Sound, Database Conversation* and was a member of panel session: *Multimedia ETD Questions: Maintenance issues; pdf functionality and readability*.

As a member of NDLTD Strategic Planning Committee, he contributed to the *NDLTD and the Future NDLTD and the Future* document presented by Joan Lippincott. Part of this document included a new governance model for the NTLTD.

iii) CRIS (Current Research Information Systems) Conference in Germany and the Australasian Research Association Conference.

Doreen Parker & Earle Gow presented papers on the AARLIN project.

iv) IATUL Conference, June 2002.

Diane Costello, CAUL's Executive Officer, was invited to present a keynote address at the conference of the International Association on Technological University Libraries in Kansas City, Missouri in June 2002. The conference, *Partnerships, Consortia, and 21st Century Library Service*, was attended by participants from over 20 countries, and included a stream on cooperative service models, where CAUL was one of the three models discussed.

<http://www.iatul.org/conference/cvol12.html>

v) ICOLC (the International Coalition of Library Consortia).

Diane Costello represented CAUL at the two biannual meetings of ICOLC held in North America in 2002. The other 100 participants, usually including around 5 from outside the U.S. and Canada, are involved in consortium management and/or library collection management in universities, colleges and research library organisations.

Although the focus has been on best practice in licensing and purchasing electronic journals and databases, it has widened over the last few years to cover technological innovations to improve useability and management of those resources, and other cooperative activities that provide benefit to universities. Publishers and other vendors invited to discuss their business models in 2002 included: Sage Publishing, Taylor & Francis, the American Chemical Society, two vendors of virtual reference desk software, Wiley InterScience, ISI, ebrary, XanEdu and the Vanderbilt University Television News Archive. <http://www.library.yale.edu/consortia/>

E) CAUL SURVEYS

CAUL surveys and questionnaires enable CAUL members to review how other members manage and operate their programs and services. The initiator of the surveys, in return for the contribution of responses by other members, commits to summarising and analysing the survey responses, and making the results available to all. The results are published on the CAUL web site. CAUL members have conducted surveys for seventy years. In 2002, twenty-eight surveys were undertaken by members. The topics ranged from knowledge management to authentication, from IT skills for students to e-metrics & statistics and from reference services to quality training.

5. CAUL GOVERNANCE

GOVERNANCE

Membership of CAUL is comprised of the library directors whose parent institutions are full members of the Australian Vice-Chancellors' Committee. In 2001 the CAUL Executive Committee or Office Bearers were:

EXECUTIVE COMMITTEE

Executive Committee to October 2002:

President, Ms Helen Hayes,
Vice-Principal (Information),
The University of Melbourne;

Deputy President, Mr John Shipp,
University Librarian,
The University of Sydney;

Mr Vic Elliott,
University Librarian,
University of Tasmania;

Ms Madeleine McPherson,
University Librarian,
University of Southern Queensland;

Ms Evelyn Woodberry,
University Librarian,
University of New England; and

Ms Diane Costello,
Executive Officer, CAUL.

Executive Committee from October 2002:

President, Ms Madeleine McPherson,
University Librarian,
University of Southern Queensland;

Deputy President, Ms Evelyn Woodberry,
University Librarian,
University of New England;

Mr Vic Elliott,
University Librarian,
University of Tasmania;

Mr Derek Whitehead,
Director, Information Resources,
Swinburne University;

Mr Andrew Wells,
University Librarian,
University of New South Wales;

Ms Diane Costello,
Executive Officer, CAUL.

COUNCIL MEMBERSHIP, CHANGES OF MEMBERSHIP, MEETINGS

A full list of members is included in Appendix I. The Council meets twice annually. In 2002 it met at the University of Western Australia in Perth in April, and in September at the University of Otago in Dunedin, New Zealand as guests of the University Librarian and other members of the Council of New Zealand University Librarians (CONZUL). This extended the already close relationship between the two organisations.

CAUL ELECTIONS.

In 2002 elections were held for vacancies on two committees. Madeleine McPherson was elected President, CAUL and chair of the Executive Committee, Evelyn Woodberry was elected Deputy President, and Derek Whitehead and Andrew Wells were elected members.

Evelyn Woodberry was nominated as the Executive nominee and chair of the CEIRC committee, while Heather Gordon was elected as a CAUL representative, and Sue Dowling (Murdoch) selected as a representative of institutional Datasets Coordinators.

Derek Whitehead was nominated by the Executive to convene the Victorian-based CAUL Statistics Focus Group, and David Groenewegen (Monash) was invited to join the committee.

COMMITTEES AND WORKING GROUPS, MEMBERSHIP, MEETINGS, ROAD SHOWS

The ADT (Australian Digital Theses) Policy Reference Group was established in 2002, and met twice. Members are: Alex Byrne (University Librarian, UTS, chair), Janice Rickards (Pro-Vice Chancellor (Information Services) Griffith University), Leila Baretto (Executive Committee, Council of Australian Postgraduate Associations, CAPA Representative), Professor Mark Tennant (Dean, University Graduate School, UTS, Representative of Deans and Directors of Graduate Schools), Pamela Gatenby (Assistant Director-General, National Library of Australia, NLA representative), Julie Stevens (Regional Manager, Australia & New Zealand, ProQuest, invited member), Andrew Wells (University Librarian, UNSW, ADT host university), Tony Cargnelutti (ADT Manager, UNSW), Diane Costello (CAUL Executive Officer, Secretary)

ADT Technical Committee was established in 2002 and met once. Members are: Tony Cargnelutti (ADT Manager, UNSW, chair), Michael Wood (Deakin University),

Mark Huppert (ANU), Janet Fletcher (Macquarie University), Peter Green (Curtin University).

Best Practice Working Group: Lynne Benton (University of Newcastle), Sue McKnight (Deakin University), John McKinlay (JCU), Ruth Quinn (NTU), Felicity McGregor (chair, University of Wollongong), Gulcin Cribb (Bond University), Alison Ransome (SCU), Sue Kosse (ANU), Heather Gordon (University of the Sunshine Coast).

CAUL Electronic Information Resources Committee (CEIRC) met four times during the year. Members are Evelyn Woodberry (UNE, Chair), Fides Lawton (UTS), Liz Curach (UWS), Jocelyn Priddey (UQ), Steve Cramond (University of Adelaide), Tony Millett (University of Waikato, representing CONZUL), Philip Kent (representing CSIRO to 2/9/02), Jacqui Porter (representing CSIRO from 2/9/02), Diane Costello (CAUL)

Copyright Working Group - Greg Jones (University of Canberra), Craig Grimison (ACU), Chris Taylor (University of Queensland), Derek Whitehead (Swinburne), Ruth Quinn (NTU), Helen Roberts (ANU), Margaret Appleton (CQU), Mike Lean (QUT), Evelyn Woodberry (chair, UNE)

Scholarly Communication Working Group: Colin Steele (ANU), Ray Choate (Adelaide), Janine Schmidt (University of Queensland), Penny Carnaby (Macquarie University), Helen Hayes (University of Melbourne), Philip Kent (CSIRO), Cliff Law (University of New South Wales @ADFA), Cathrine Harboe-Ree (Monash University), Andrew Wells (University of New South Wales, chair).

The Statistics Focus Group is chaired by Derek Whitehead and met twice in 2002. Other members are Tony Arthur (University of Melbourne), Helen Livingston (Deakin University) who was replaced during the year by David Groenewegen (Monash University), Cathie Jilovsky (CAVAL Ltd) and the CAUL Executive Officer ex officio. Isobel Mosley (Lincoln University, NZ) was invited to participate in the group in 2002. All members except the Executive Officer are based in Melbourne, the location of the meetings.

University Library Australia (ULA) Working Group. The group met twice in 2002, as part of the review of ULA. Members are: Alison Ransome (SCU, Chair), Sharon Lenord (USC, representing QULOC), Beth Marnane (UTS, representing UNISON), Jan Gordon (UNSW@ADFA, representing ACTUAL), Tony Arthur (University of Melbourne, representing CAVAL), Stephen Beaumont (University of Adelaide, representing ULSA), Imogen Garner (UWA, representing WAGUL), Diane Costello (CAUL).

REPRESENTATION OF CAUL MEMBERS ON EXTERNAL BODIES

CAUL is represented on the following external bodies:

- Australian Libraries Copyright Committee – Evelyn Woodberry
- Australian Library Collections Task Force – Janine Schmidt, Liz Curach, Ross Coleman (University of Sydney), CAUL Executive Officer;
- COUNTER International Advisory Group – CAUL Executive Officer.
- NSCF (National Scholarly Communication Forum) – Colin Steele represented the National Academies Forum Library Committee; John Shipp represented CAUL to 19/9/02, and Andrew Wells thereafter.
- Kinetica Advisory Committee – Doreen Parker and John Arfield.
- Peak Bodies Forum – CAUL President

CAUL was represented on the following external forums:

- ALIA Information Literacy Advocacy Forum - Diane Costello (CAUL)
- UNESCO Digital Heritage Forum.
The Regional Consultation Meeting on the Preservation of Digital Heritage for Asia and the Pacific. – Anne Horn (UQ)

CAUL Membership of External Organisations

CAUL is a member of the following:

- Australian Digital Alliance
- SPARC (the Scholarly Publishing and Academic Resources Coalition)
- ICOLC (the International Coalition of Library Consortia)
- ISCA (the International Scholarly Communication Alliance).

Participation of CAUL Members in External Organisations

- National Resource Sharing Policy Committee – Liz Curach (UWS)

Relationships with External Organisations

CAUL maintains working and information-sharing relations on a continuing basis with the following organisations:

- Australian Vice-Chancellors' Committee

- the Commonwealth Department of Education, Science and Training
- CONZUL (the Council of New Zealand University Librarians)
- CAUDIT (the Council of Australian University Directors of Information Technology)
- ACODE (Australasian Council on Open and Distance eLearning)
- ARL (Association of Research Libraries – North America)
- CARL (Canadian Association of Research Libraries)
- CURL (Committee of University and Research Libraries – UK)
- SCONUL (Standing Conference of National and University Libraries – UK)
- JULAC (the Joint University Libraries Committee – Hong Kong)
- National Library of Australia.

CAUL OFFICE

The CAUL Office is located in Canberra, on the campus of the Australian National University.

It is staffed by a full-time Executive Officer, a casual book-keeper and two half-time administrative staff.

The second of these part-time positions was established in mid-2001.



APPENDIX I – CAUL MEMBERSHIP 2002

<i>Institution</i>	<i>University Librarian at 31 December 2002</i>	
Adelaide University	<i>Mr Ray Choate</i>	University Librarian
Australian Catholic University	<i>Mr Chris Sheargold</i>	Director, Libraries
Australian National University	<i>Ms Sue Kosse</i> <i>Mr Colin Steele</i>	Interim Director, Scholarly Information Services (from 1/3/02) Director Scholarly Information Services & University Librarian (to 1/3/02)
Bond University	<i>Ms Gulcin Cribb</i>	Director, Library Services
Central Queensland University	<i>Ms Margaret Appleton</i>	Director, Division of Library, Information and Media Services
Charles Sturt University	<i>Ms Shirley Oakley</i> <i>Ms Margaret Macpherson</i>	Executive Director, Division of Library Services (from 5/8/02) (to 5/8/02)
Curtin University of Technology	<i>Ms Sue White</i>	Acting University Librarian
Deakin University	<i>Ms Sue McKnight</i>	Executive Director, Learning Services & University Librarian
Edith Cowan University	<i>Mr Jeff Murray</i>	Director, Student Service Centre
Flinders University	<i>Mr Bill Cations</i>	University Librarian
Griffith University	<i>Ms Janice Rickards</i>	Pro-Vice-Chancellor (Information Services)
James Cook University	<i>Mr John McKinlay</i>	Director, Information Services and University Librarian
La Trobe University	<i>Mr Earle Gow</i>	University Librarian
Macquarie University	<i>Ms Maxine Brodie</i> <i>Ms Penny Carnaby</i>	Acting University Librarian (from 24/12/02) University Librarian (from 15/2/02 to 23/12/02) Acting University Librarian (to 15/2/02)
Monash University	<i>Ms Cathrine Harboe-Ree</i> <i>Ms Chooi-Hon Ho</i>	University Librarian (from 4/3/02) Acting Deputy University Librarian (to 4/3/02)
Murdoch University	<i>Ms Margaret Jones</i>	Director, Library Services (from 1/7/02) Acting University Librarian (to 1/7/02)
Northern Territory University	<i>Ms Ruth Quinn</i>	Director Library Services
Queensland University of Technology	<i>Ms Gaynor Austen</i>	Director, Library Services
RMIT University	<i>Ms Karen Johnson</i> <i>Ms Ainslie Dewe</i> <i>Mr Stephen Gillespie</i>	Acting Director, University Library (from 23/8/02) Director, University Library (from 17/6/02 to 23/8/02) University Librarian (from 3/4/02 to 16/6/02) Acting University Librarian (to 3/4/02)
Southern Cross University	<i>Ms Alison Ransome</i>	University Librarian
Swinburne University of Technology	<i>Mr Derek Whitehead</i>	Director, Information Resources
University of Ballarat	<i>Ms Judith Clark</i> <i>Mr Alan Brady</i> <i>Prof. Gerry Anderson</i>	Head, Academic Support Services and University Librarian (from 30/5/02) Senior Librarian (29/1/02 to 30/5/02) Pro Vice-Chancellor Educational & Academic Services (to 29/1/02)
University of Canberra	<i>Mrs Lois Jennings</i>	Executive Director, Client Services Division and Librarian
The University of Melbourne	<i>Ms Helen Hayes</i>	Vice-Principal (Information)
University of New England	<i>Ms Evelyn Woodberry</i>	University Librarian
University of New South Wales	<i>Mr Andrew Wells</i>	University Librarian
University of New South Wales @ ADFA	<i>Mr Cliff Law</i>	Director, Academic Support Group & Academy Librarian (from 2/12/02) Academy Librarian (to 2/12/02)
The University of Newcastle	<i>Ms Lynne Benton</i>	Executive Director, Education Services & University Librarian
University of Queensland	<i>Mrs Janine B. Schmidt</i>	University Librarian
University of South Australia	<i>Ms Linda Luther</i> <i>Dr Alan Bundy</i>	Acting University Librarian (from 3/7/02) University Librarian (to 3/7/02)
University of Southern Queensland	<i>Ms Madeleine McPherson</i>	University Librarian
University of Sydney	<i>Mr John Shipp</i>	University Librarian
University of Tasmania	<i>Mr Vic Elliott</i>	University Librarian
University of Technology, Sydney	<i>Mr Alex Byrne</i>	University Librarian
University of the Sunshine Coast	<i>Ms Heather Gordon</i>	Executive Director, Information Services
The University of Western Australia	<i>Mr John Arfield</i>	University Librarian
University of Western Sydney	<i>Ms Liz Curach</i>	University Librarian
University of Wollongong	<i>Ms Felicity McGregor</i>	University Librarian
Victoria University	<i>Mrs Doreen V. Parker</i>	University Librarian

APPENDIX II – CAUL STATISTICS 2002, INCLUDING ULA STATISTICS

39 CAUL INSTITUTIONS

TOTAL STATISTICS FOR 2001	TOTAL (2001)	TOTAL (2000)	TOTAL STATISTICS FOR 2001	TOTAL (2001)	TOTAL (2000)
Number Of Libraries [Branches]	234	231	Individual Print And Non-Print Titles	232,684	236,737
Opening Hours [Per Week]	2,991	2,979	Individual Electronic Titles	38,442	79,749
Study Seats	60,601	59,606	Electronic Titles Within A Single Publishers Collection	50,387	148,432
Classroom Seats	7,401	7,106	Electronic Titles Within Aggregations	614,956	456,866
Professional Library Positions	1,384	1,403			
Para Professional Library Positions	914	882			
Library Support Positions	1,644	1,745			
Other Professional Positions	187	148			
Other Positions	92	121			
Total Staff	4,222	4,298			
Total Loans	23,679,803	22,250,647			
Reserve Collection Loans	2,642,165	2,739,702			

Document Delivery Services - Supply

Original Items	93,305	94,205
Photocopies & Electronic Items	211,529	191,376
Total Items Supplied	304,834	285,699

Document Delivery Services - Receipts

Original Items	76,975	81,409
Photocopies & Electronic Items	306,580	327,290
Total Items Received	383,555	409,820

Information Resources

- Non-Serial Items [Books, etc]

Acquired	850,919	924,139
Withdrawn	293,515	287,401
Total Held	27,988,457	27,626,806

- Non-Serial Titles [Books, etc]

Acquired	517,524	544,849
Withdrawn	101,764	116,620
Total Held	13,752,994	13,068,624

TOTAL New Serial Titles

[Journals, etc]

Individual Print And Non-Print Titles	4,385	5,877
Individual Electronic Titles	6,828	46,468
Electronic Titles Within A Single Publishers Collection	9,402	76,458
Electronic Titles Within Aggregations	90,623	176,478

TOTAL Serial Titles Actively Cancelled

[Journals, etc]

Individual Print And Non-Print Titles	10,455	8,660
Individual Electronic Titles	222	112
Electronic Titles Within A Single Publishers Collection	13,650	12,459
Electronic Titles Within Aggregations	23,968	13,410

TOTAL Current Serial Titles

[Journals, etc]

	934,917	936,741
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Library Expenditure

Non-Serials [Books, etc]	\$50,095,162	\$49,021,395
Serials Subscriptions [Journals, etc]	\$115,098,737	\$105,862,283
Binding	\$3,740,206	\$3,948,505
Operating Expenditure	\$44,425,035	\$43,248,130
Salaries	\$212,364,921	\$208,324,106
TOTAL Library Expenditure	\$425,724,061	\$409,585,077

Institution Population – Academic Staff

Fulltime & Fractional Fulltime (Persons)	35,605	34,065
Fulltime, Fractional Fulltime, Casual (FTE)	31,355	30,382

Institution Population – Other Staff

Fulltime & Fractional Fulltime (Persons)	48,825	44,228
Fulltime, Fractional Fulltime, Casual (FTE)	42,183	39,995
Students - Higher Degree - Persons	151,747	97,373
Students - Higher Degree - Eftsu	100,245	68,693
Students - Other Tertiary - Persons	569,433	584,880
Students - Other Tertiary - EFTSU	479,457	476,584
Students - TAFE And Non-Tertiary - Persons	124,547	124,682
Students - TAFE And Non-Tertiary - EFTSU	60,974	65,262
Total Students (Persons)	845,727	806,935
Total Students (Eftsu)	640,676	610,606
External Students – Persons	104,019	94,971
External Students – Eftsu	45,062	40,985
Institution Population - Persons	930,157	885,228
Institution Population - Eftsu	714,215	680,984

University Library Australia began operation in July 2001. At the time of the review of the program in July 2002, the following transactions had been recorded:

# borrowers registered at host library	4803
# loans by ULA borrowers at host library	105558
# "delinquent" ULA borrowers ie those who have reached the book replacement stage.	68

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